

Agenda item 5: Discussions and possible approval of the draft annual work programme and budget of UN-Habitat for the year 2021



| Agenda Item 5 – Overview



The presentation summarizes the proposed work programme of the United Nations Human Settlements Programme for 2021, based on the four-year strategic plan for the period 2020–2023, approved by resolution 1/1, adopted by the United Nations Habitat Assembly at its first session.



This revised proposed work programme has been prepared at the recommendation of the Executive Board of the United Nations Human Settlements Programme at its first session of 2020, on 29 June 2020 (HSP/EB.2020/18, para. 25) following its review of the then proposed work programme and budget 2021 (**HSP/EB.2020/4**).



The Executive Board on 29 June 2020 (HSP/EB.2020/18, para. 25) recommended that the Foundation non-earmarked budget for 2021 be more realistic, in the range of \$5.58 million to \$10 million. This document presents the proposed annual work programme based on the budget of \$10 million.



| Agenda Item 5 – Supporting documents

The following two documents are related to this agenda item:

- **HSP/EB.2020/22:** Report of the Executive Director on the draft annual work programme of the United Nations Human Settlements Programme and on the budget of the United Nations Habitat and Human Settlements Foundation for 2021
- **HSP/EB.2020/22/Add.1:** Report of the Advisory Committee on Administrative and Budgetary Questions on the work programme and budget for 2021



| A solid foundation built in 2020



The Strategic Plan 2020-2023 and the new structure established new ways of working that are yielding results. A robust foundation now exists and must be nurtured to achieve strategic results.

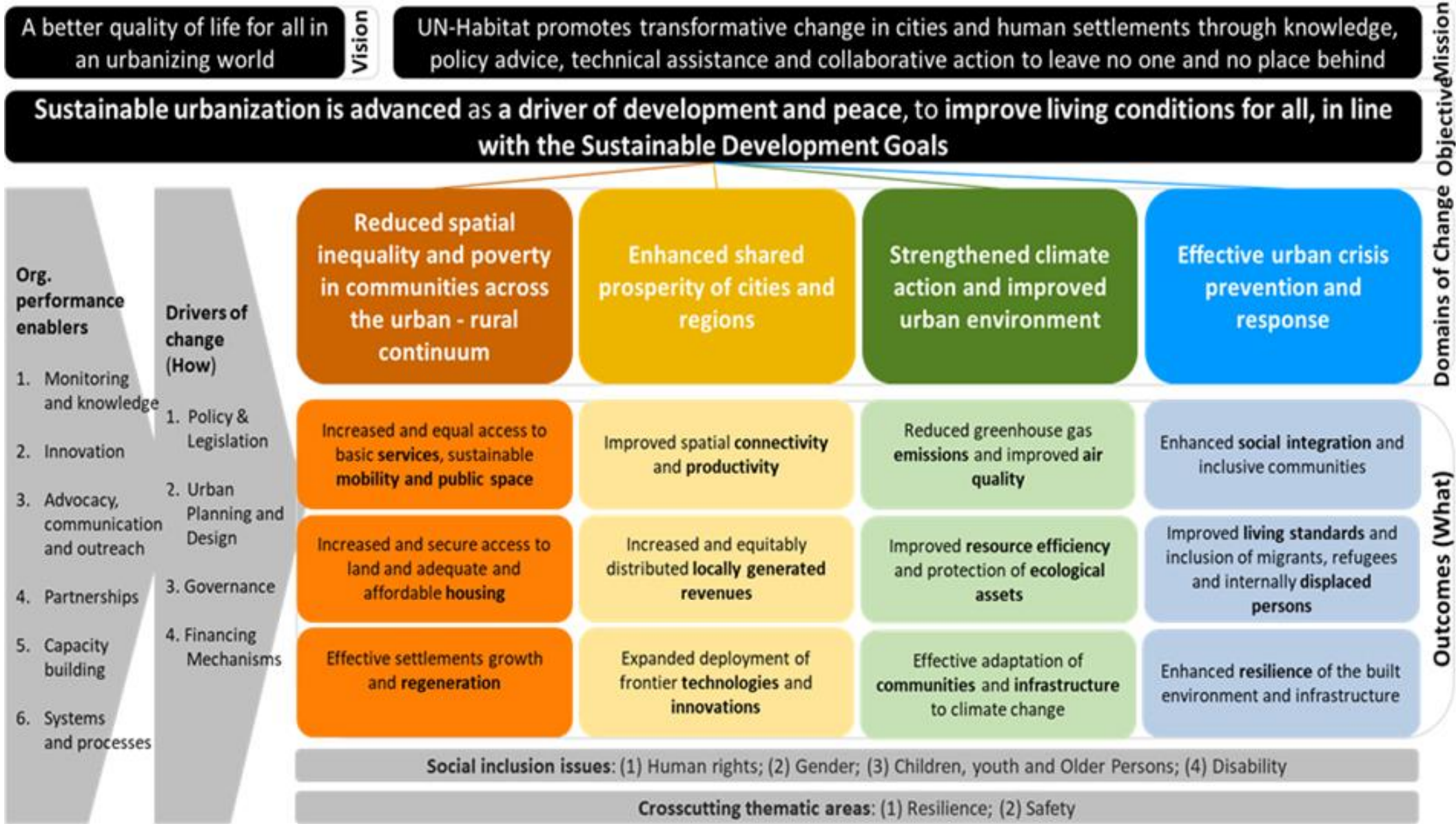


Positive impacts:

1. More outcome-oriented and focused operational portfolio, delivering against specific outcomes across the strategic plan.
2. Better integration and alignment of the normative and operational (flagship programmes have been formulated).
3. Increased recognition of UN-Habitat's focal point role, including as part of the CCA/UNSDCF process.
4. Better mobilization of UN-Habitat's added value and expertise and of the transformative potential of urbanization against global priorities (ex. climate change, migration, etc.)



| The Theory of Change of the Strategic Plan 2020-2023



Implications of reducing the Foundation non-earmarked budget on the work programme for 2021



Reduction in Foundation Non-Earmarked resources will affect the Organization's **capacity to deliver** on the approved strategic plan 2020-2023.



Effects of this reduction in resources will include:

1. De-prioritization of some outcome areas of the strategic plan in the four sub programmes
2. Two flagship programmes will be de-prioritized and not supported by core resources:
 - a) Flagship programme 1 Inclusive, Vibrant Neighbourhoods and Communities
 - b) Flagship programme 2 People-focused Smart Cities
3. Number of deliverables for 2021 will be reduced in proportion to available resources



The prioritization criteria centered on keeping critical deliverables that reflect key priorities and achieving enhanced impact, even with less resources, including through focusing on outcomes that allow results across the strategic plan.



| Subprogramme 1



De-prioritized: Outcome area 3 relating to **effective human settlements growth and regeneration**.



- Publications, technical materials, workshops, consultation and advisory services on **slum upgrading, housing**, heritage in urban development, regeneration and urban growth, planned city extensions, city infill and heritage preservation will be reduced.



Impact: Limited support to Member States to develop policies, plans, gender- and age responsive local and community planning and design processes, guidelines and capacity development for local authorities.



Reduced spatial inequality and poverty in communities across the urban-rural continuum



| Subprogramme 2



De-prioritized: Outcome area 3 relating to **expanded deployment of frontier technologies and innovation.**



- Publications, technical materials, consultation and advisory services on **smart cities, urban frontier technologies and innovations** and addressing inequalities and bridging social, spatial and digital divides will be reduced.



Impact: No capacity-building to support Member States on smart city plans and the use of frontier technologies in urban planning, design and regeneration; and no support to mobilise financing for urban innovation projects that make a positive impact on people's lives in cities, particularly the Global South and marginalised communities.



Enhanced shared
prosperity of cities
and regions



| Subprogramme 3



Limited support: Outcome area 2 relating to reducing greenhouse gas emissions and improving air quality, and Outcome area 3 relating to improving resource efficiency and protecting ecological assets.



- Limited technical support on **low-carbon** city development, **adaptation** investments, energy and **resource efficient** codes for buildings.
- No workshops on green city models, **green infrastructure** and green-blue urban planning.
- No publications and technical materials on the **urban environment**, ecosystems and biodiversity, blue-green networks, **ecological assets**.



Impact: Limited support to Member States to protect urban biodiversity and ecological assets, and limited advocacy to mobilize cities to set targets and report through the climate action planning framework.



**Strengthened
climate action and
improved urban
environment**



| Subprogramme 4



Limited support: In all outcome areas.



- Prioritized (not wide-scale) implementation of the **People's Process** for community-based reconstruction.
- Limited advisory services and urban crisis-response expertise on **integrated** urban development strategies and **crisis mitigation** and response.
- A limited number of urban-specific **recovery** frameworks, tools and approaches.



Impact: Selective support to Member States to develop mechanisms that include migrants, refugees, internally displaced persons and returnees; manage urban displacement and reduce camp-like environments; and incorporate resilience in recovery and reconstruction strategies and plans.



Effective urban
crisis prevention
and response



Highlight of impacts of resource reduction on Subprogramme deliverables

Subprogramme 1: Reduced spatial inequality and poverty in communities across the urban - rural continuum Deliverables for the period 2020–2021

Category and subcategory	2020 planned	2021 initial planned	2021 revised @ \$10 million
Field and technical cooperation projects (number of projects)	32	50	34
Seminars, workshops and training events (number of days)	51	79	49
Publications (number of publications)	2	3	3
Technical materials (number of materials)	–	19	11

Subprogramme 2: Enhanced shared prosperity of cities and regions Deliverables for the period 2020–2021

Category and subcategory	2020 planned	2021 initial planned	2021 revised @ \$10 million
Field and technical cooperation projects (number of projects)	37	50	24
Seminars, workshops and training events (number of days)	74	66	39
Publications (number of publications)	2	4	3
Technical materials (number of materials)	21	30	11



Highlight of impacts of resource reduction on Subprogramme deliverables

Subprogramme 3: Strengthened climate action and improved urban environment Deliverables for the period 2020–2021

Category and subcategory	2020 planned	2021 initial planned	2021 revised @ \$10 million
Field and technical cooperation projects (number of projects)	28	32	15
Seminars, workshops and training events (number of days)	93	100	38
Publications (number of publications)	2	3	3
Technical materials (number of materials)	9	12	5

Subprogramme 4: Effective urban crisis prevention and response Deliverables for the period 2020–2021

Category and subcategory	2020 planned	2021 initial planned	2021 revised @ \$10 million
Field and technical cooperation projects (number of projects)	28	31	18
Seminars, workshops and training events (number of days)	42	45	31
Publications (number of publications)	2	2	2
Technical materials (number of materials)	9	9	6



Agenda item 5: Revised 2021 Proposed Budget



| UN-Habitat 2020 / 2021- Budget comparison in numbers

2020 in numbers

Foundation Non-Earmarked

Other Sources



\$18.9 million total resource requirements
\$16.9 million post
\$ 2.0 million non-post



\$232.7 million total resource requirements
\$ 15.5 million post
\$217.2 million non-post



7.5% of total resources



92.5% of total resources



\$5.9 million increase compared with 2019



\$5.6 million reduction compared with 2019



135 posts
93 Professional and higher
42 General Service and related



120 posts
75 Professional and higher
45 General Service and related

2021 in numbers

Foundation Non-Earmarked

Other Sources



\$10.0 million total resource requirements
\$ 8.2 million post
\$ 1.8 million non-post



\$218.2 million total resource requirements
\$ 17.6 million post
\$200.6 million non-post



4.4% of total resources



95.6% of total resources



\$8.9 million reduction compared with 2020



\$14.5 million reduction compared with 2020



58 posts
32 Professional and higher
26 General Service and related



123 posts
79 Professional and higher
44 General Service and related



UN-Habitat 2020 / 2021- Post comparison

Estimated distribution of posts by source of funds

	<i>Professional category and above</i>								<i>General service</i>		<i>Grand total</i>	
	<i>USG</i>	<i>ASG</i>	<i>D-2</i>	<i>D-1</i>	<i>P-5</i>	<i>P-4</i>	<i>P-3</i>	<i>P-2/1</i>	<i>Total</i>	<i>Local level</i>		<i>Other level</i>
2020												
Foundation non-earmarked	-	1	4	10	30	19	23	6	93	42	-	135
Regular budget	1	-	1	4	9	15	14	5	49	24	-	73
Programme support	-	-	-	-	10	1	15	-	26	21	-	47
2020 total	1	1	5	14	49	35	52	11	168	87	-	255
<i>Changes: (decrease)/increase</i>												
Foundation non-earmarked	-	-	(2)	(3)	(25)	(11)	(15)	(5)	(61)	(16)	-	(77)
Regular budget	-	-	-	-	-	-	-	-	-	(2)	2	-
Programme support	-	-	-	-	(5)	11	(4)	2	4	(1)	-	3
Net changes	-	-	(2)	(3)	(30)	-	(19)	(3)	(57)	(19)	2	(74)
2021												
Foundation non-earmarked	-	1	2	7	5	8	8	1	32	26	-	58
Regular budget	1	-	1	4	9	15	14	5	49	22	2	73
Programme support	-	-	-	-	5	12	11	2	30	20	-	50
2021 total	1	1	3	11	19	35	33	8	111	68	2	181

Abbreviations: USG, Under-Secretary-General; ASG, Assistant Secretary-General; D, Director; P, Professional



UN-Habitat 2020 and 2021 Staffing by Sub-Programme, Executive Direction and Management, and Programme Support

Estimated distribution of posts by strategic priority area and grade

Component and subprogramme	Professional category and above							General Service and related categories						Total
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2/1	Sub total	Other Level	Local Level	Natl Officer	Sub total	
Strategic priorities														
Subprogramme 1	–	–	2	2	10	5	7	3	29	–	8	–	8	37
Subprogramme 2	–	–	–	3	8	5	5	2	23	–	12	–	12	35
Subprogramme 3	–	–	1	3	10	8	6	2	30	–	11	–	11	41
Subprogramme 4	–	–	–	3	10	9	7	3	32	–	15	–	15	47
Policy Making Organs	–	–	–	1	–	1	1	–	3	–	3	–	3	6
Executive Direction & Mgt.	1	1	2	1	8	3	3	1	20	–	11	–	11	31
Programme Support	–	–	–	1	3	4	23	–	31	–	27	–	27	58
Total	1	1	5	14	49	35	52	11	168	–	87	–	87	255

Abbreviations: USG, Under-Secretary-General; ASG, Assistant Secretary-General; D, Director; P, Professional

Estimated distribution of posts by strategic priority

	Professional category and above									Total	General service		Grand total
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2/1	Local level		Other level		
2021													
Subprogramme 1	–	–	2	2	5	4	3	1	17	10	–	27	
Subprogramme 2	–	–	–	3	3	7	5	2	20	9	–	29	
Subprogramme 3	–	–	–	1	3	7	6	1	18	11	–	29	
Subprogramme 4	–	–	–	1	3	3	4	1	12	12	–	24	
Policy making organs	–	–	–	1	–	–	2	–	3	3	–	6	
Executive direction and management	1	1	1	2	4	5	2	1	17	9	2	28	
Programme support	–	–	–	1	1	9	11	2	24	14	–	38	
2021 total	1	1	3	11	19	35	33	8	111	68	2	181	

Abbreviations: USG, Under-Secretary-General; ASG, Assistant Secretary-General; D, Director; P, Professional



UN-Habitat – Staffing reductions to meet the \$10.0 million budgetary ceiling

Approved 2020 Programme of Work Staff

Subprogramme 1: Reduced Spatial Inequality			Subprogramme 2: Enhanced Shared Prosperity			Subprogramme 3: Strengthened Climate Action			Subprogramme 4: Effective Urban Crises Prevention		
FND 2 P-4	RB 1 D-2 1 D-1 1 P-5 1 P-4 1 P-3 1 P-2 2 L-L	HPS 1 P-5 F	FND 1 P-5 1 L-L	RB 1 D-1 2 P-5 3 P-4 3 P-3 2 P-2 4 L-L	HPS -	FND -	RB 2 P-5 2 P-3 3 L-L	HPS -	FND 3 P-5 4 P-4 1 P-2 3 L-L	RB 1 P-5 2 P-4 3 P-3 1 P-2 1 L-L	HPS 1 L-L
Strategic Division											
FND 1 D-2 2 P-5 5 P-3 2 P-2 2 L-L	RB 2 P-4 1 P-3 2 L-L	HPS -	FND 1 D-1 2 P-4 2 P-3	RB -	HPS -	FND 2 D-1 1 P-5 1 P-4 1 P-3 1 P-2 5 L-L	RB 3 P-4 2 P-3 1 P-2	HPS -	FND 2 P-5 1 P-4 2 P-3 1 P-2 4 L-L	RB 3 L-L	HPS 1 P-3 2 L-L
Regional Affairs Division											
FND 1 D-1 4 P-5 1 L-L	RB -	HPS 2 P-5 1 L-L	FND 1 D-1 3 P-5 5 L-L	RB 1 L-L	HPS 2 P-5 1 L-L	FND 1 D-2 1 D-1 5 P-5 3 P-4 2 L-L	RB 1 P-4	HPS 2 P-5 1 P-3 1 L-L	FND 3 D-1 2 P-5 1 P-4	RB -	HPS 2 P-5 1 P-4 1 P-3 1 L-L

Programme of Work

Proposed 2021 Programme of Work Staff

Subprogramme 1: Reduced Spatial Inequality			Subprogramme 2: Enhanced Shared Prosperity			Subprogramme 3: Strengthened Climate Action			Subprogramme 4: Effective Urban Crises Prevention					
FND -	RB 1 D-2 1 D-1 1 P-5 1 P-4 1 P-3 1 P-2 2 L-L	HPS 1 P-5	Global Solutions Division						FND 1 P-4 1 L-L	RB 2 P-5 2 P-3 3 L-L	HPS 1 P-4	FND 1 P-4 2 L-L	RB 1 P-5 2 P-4 3 P-3 1 P-2 1 L-L	HPS -
External Relations, Strategy, Knowledge and Innovation Division														
FND 1 D-2 2 P-5 2 L-L	RB 2 P-4 1 P-3 2 L-L	HPS 1 P-4 1 P-3 1 L-L	FND 1 P-4 1 P-3	RB 1 D-1	HPS 1 P-4 1 P-3 1 L-L	FND 3 L-L	RB 3 P-4 2 P-3 1 P-2	HPS 1 P-4 1 P-3	FND 1 P-5 2 L-L	RB 3 L-L	HPS 1 P-3 2 L-L			
Regional Programmes Division														
FND 1 D-1 1 L-L	RB -	HPS 1 P-5 2 L-L	FND 1 D-1 1 L-L	RB 1 L-L	HPS 1 P-5 1 P-4 2 L-L	FND 1 D-1 2 L-L	RB 1 P-4	HPS 1 P-5 1 P-3 2 L-L	FND 1 D-1	RB -	HPS 1 P-5 2 L-L			

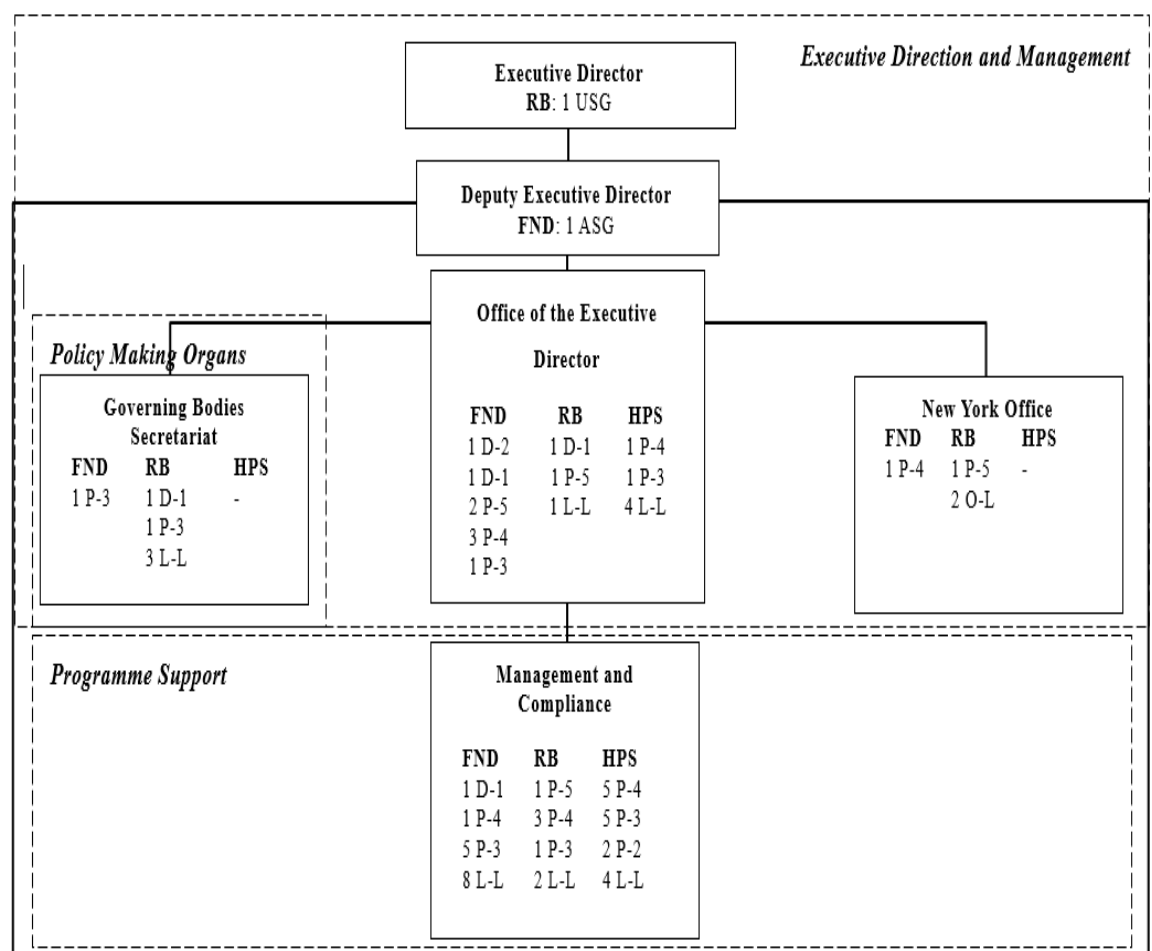
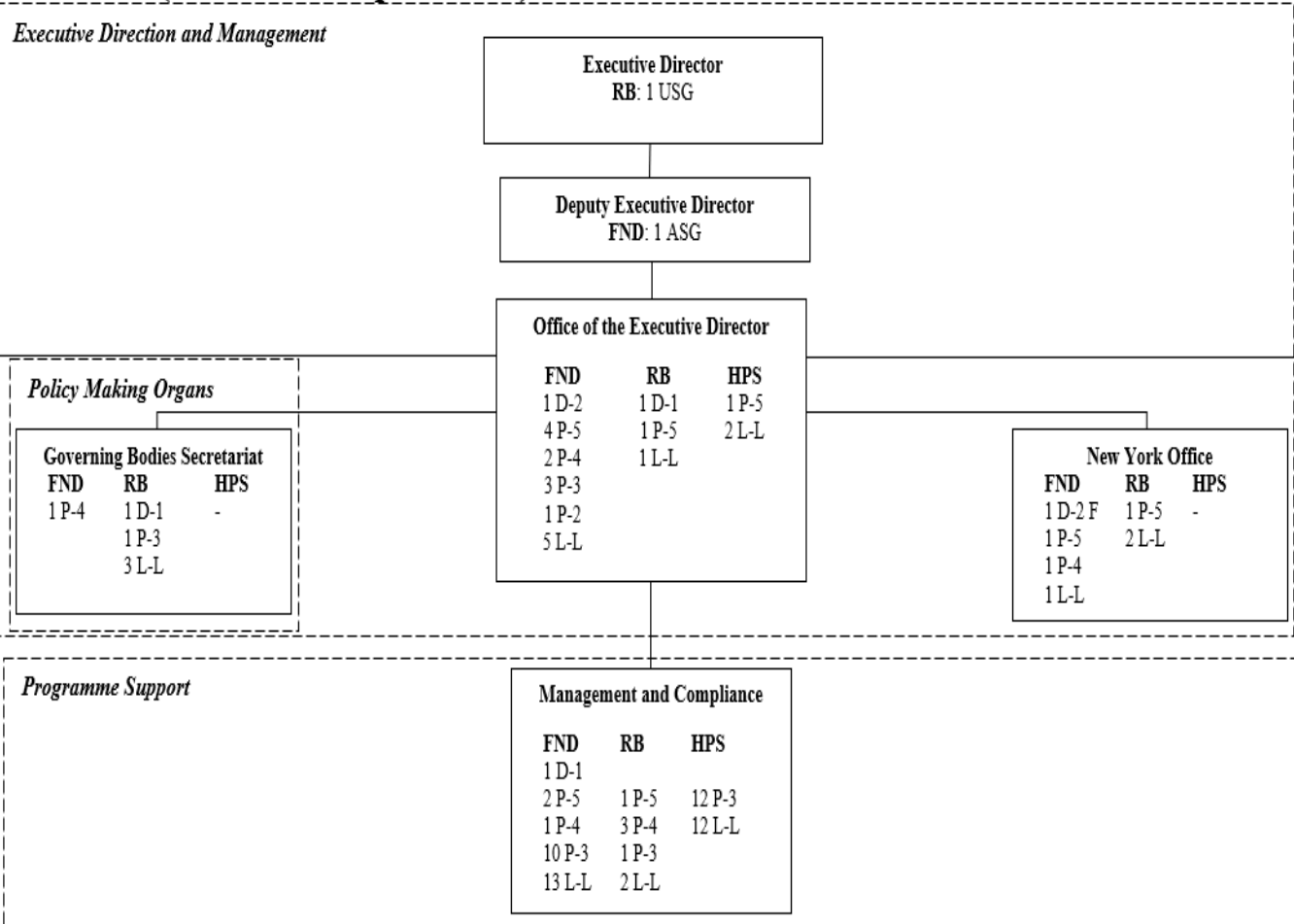
Programme of Work



UN-Habitat – Staffing reductions to meet the \$10.0 million budgetary ceiling

Approved 2020 Executive Direction and Management Programme Support Staffing

Proposed 2021 Executive Direction and Management Programme Support Staffing



Agenda item 5: Proposed Revision to the UN-Habitat Financial Reserve of the Foundation non-earmarked fund



UN-Habitat Executive Director proposed change in the Financial Reserve of the Foundation non-earmarked fund.

Rule 304.2 The following accounts shall be established:

b) A General Financial Reserve to ensure the financial liquidity of the Foundation's General Fund to compensate for uneven cash flows and to meet such other requirements of prudent management of the General Fund.

The level of the reserve and its composition shall be determined from time to time by the Governing Council upon the recommendation of the Executive Director;

The Executive Director hereby requests the Executive Board to approve a *decision* that the approved budget for the following financial period is the basis upon which the Financial Reserve is applied in the current financial period year-end Financial Statements.

The Executive Director hereby requests the Executive Board to approve a *decision* that the Financial Reserve percentage will be set at 20% of the following financial period approved budget.



Agenda item 5: Alignment of UN-Habitat Extra-Budgetary and Regular Budget 2021 Executive Board calendar



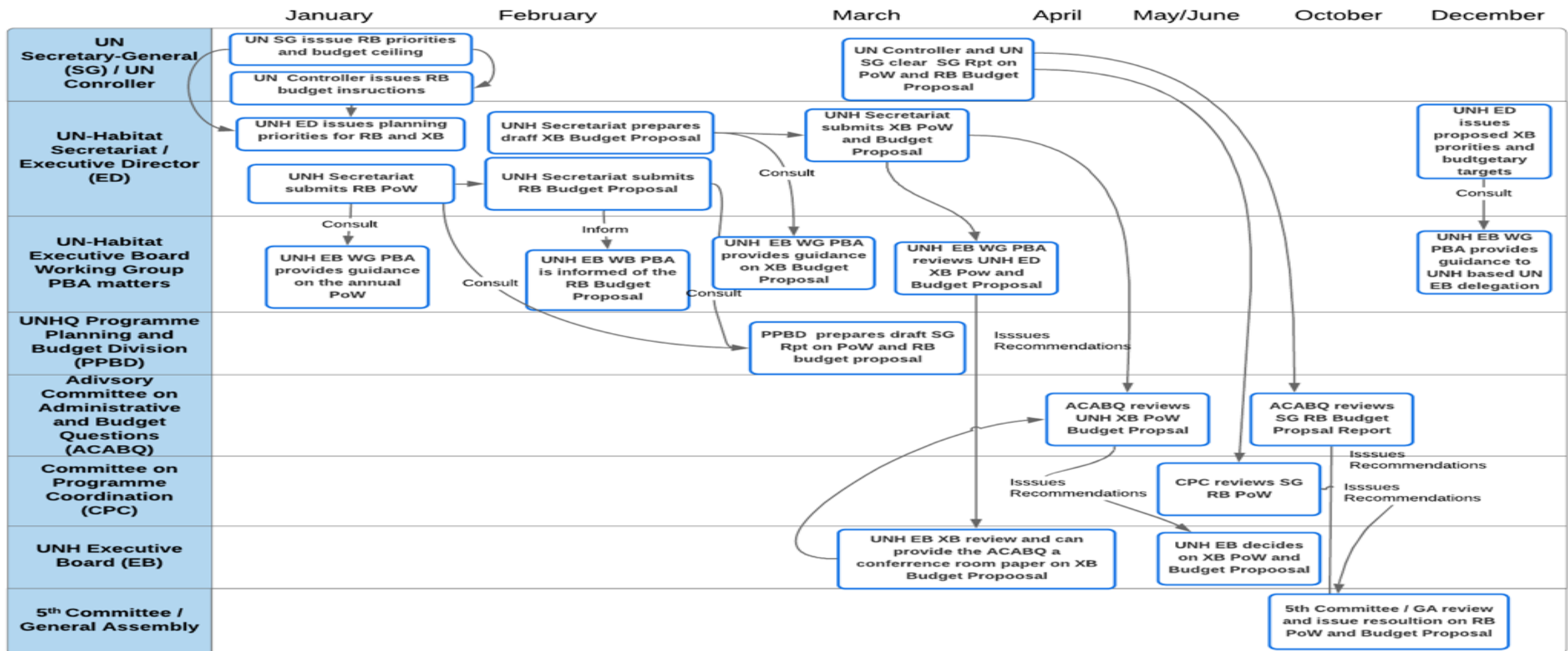
| UN-Habitat – Executive Board Work-Programme 2021

Year	January	February	March	April	May	June
2020						
2021	1. SG and Controller issue 2022 priorities and RB budget ceiling 2. UNH ED submits 2022 proposed <u>PoW</u> 3. UNH EB WG (PBA) consulted <u>on</u> 2022 proposed <u>PoW</u>	1. UNH ED submits 2022 RB budget proposal to UNHQ PPBD 2. UNH EB WG (PBA) informed of 2022 RB budget proposal	1. SG submits annual RB programme budget for 2022 2. UNH Secretariat (ED) submits annual XB budget for 2022	1. UNH EB 1 st Session 2021	1. ACABQ reviews 2022 XB budget proposal (proposed)	1. CPC Review 2. ACABQ reviews 2022 RB budget proposal
Year	July	August	September	October	November	December
2020				1. UNH EB 2 nd Session 2020 approves the 2021 Annual <u>PoW</u> of <u>Budget</u> Proposal	1. UNH Secretariat (ED) proposes 2022 XB priorities and targets	1. GA approves 2021 RB proposal 2. UNH EB WB PBA provides guidance on the proposed 2022 XB priorities and targets
2021	1. ACABQ issues report on 2022 XB Budget Proposal (proposed)	1. CPC Report on the 2022 <u>PoW</u> 2. ACABQ Report on 2022 Budget Proposal			1. 5 th Committee review of UNH 2022 RB <u>PoW</u> and budget proposal	1. GA approves 2022 RB proposal 2. UNH EB 3 rd session 2021 approves 2023 priorities and targets



| UN-Habitat – Executive Board Work-Programme 2020

UN-Habitat Annual Programme of Work and Budget Planning Process Flow



| UN-Habitat – Executive Board Work-Programme 2021

Recommendations

1. The UN-Habitat Executive Board will at its first session of each year consider as necessary any revision to the UN-Habitat current year approved extra-budgetary programme of work priorities and budget in accordance with United Nations Supplementary Financial Regulations and Rule 302.5
2. The UN-Habitat Executive Board will at its second session of each year *decide* on the following year (current year plus 1) proposed annual extra-budgetary programme of work and budget.
3. The UN-Habitat Executive Board will empower the Ad Hoc Working Group on Programmatic, Budgetary, and Administrative matters to provide guidance on 2020 extra-budgetary programmatic priorities and budgetary range.
4. The UN-Habitat Executive Board will take note of the UN-Habitat Regular Budget proposal that is prepared by the UN-Habitat Secretariat and issued by the UN-Habitat Executive Director based on the guidance of the Secretary-General. The Regular Budget reviewed by the ACABQ, Member States in the 5th Committee, and approved by the General Assembly.
5. The 2021 Executive Board sessions would be held April (1st Session) and October (2nd Session).



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