



Action Framework for Implementation of the New Urban Agenda (AFINUA)

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UN HABITAT
FOR A BETTER URBAN FUTURE

Overview

Action Framework for Implementation of the New Urban Agenda (AFINUA)

- Mandate and timeline
- Main categories and key items of the action framework
- Implementing NUA at the regional and country levels: Examples
- Next steps

The essentials of the New Urban Agenda

Calls for a paradigm shift in how we plan, finance, develop, govern and manage our cities

Three transformative commitments

- Social inclusion and ending poverty
- Prosperity and opportunities for all
- Sustainable and resilient development

Three elements of effective implementation

- Urban governance structure
- Planning and managing urban space
- Means of implementation

Three cross cutting principles:

- Inclusion
- Innovation
- Integration



The need for evidence-based and practical guidance

Encourages UN-Habitat and other stakeholders to **generate evidence-based and practical guidance for the implementation** of the NUA and urban dimension of the SDG, in close collaboration with Member States, local authorities, major groups, and other relevant stakeholders, as well as through the mobilization of experts (¶128)



The screenshot shows a website header with the following elements:

- Left side: "IMPLEMENTING THE NEW URBAN AGENDA" text and a circular logo with "H III HABITAT III" and "TOGETHER BEYOND" text.
- Center: A navigation menu with links for "Key Elements", "Projects", "Timeline", "Programmes", "Catalogue", and "UN-Habitat & NUA".
- Right side: The "UN HABITAT FOR A BETTER URBAN FUTURE" logo.

The main content area is titled "UN-HABITAT & NEW URBAN AGENDA" and features a section for the "Action Framework for Implementation of the New Urban Agenda (AFINUA)".

Action Framework for Implementation of the New Urban Agenda (AFINUA)

The New Urban Agenda encourages UN-Habitat and others 'to generate evidence-based and practical guidance for [its] implementation and the urban dimension of the [SDGs], in close collaboration with Member States, local authorities, major groups and other relevant stakeholders, as well as through the mobilization of experts.' UN-Habitat's draft Action Framework for Implementation of the New Urban Agenda (AFINUA) aims to set out the essential ingredients for the implementation of the NUA, who should lead each, how they might be measured and how they link to the provisions of the NUA. The 35 key elements are grouped into the following five categories: (1) national urban policies, with six key elements, (2) urban legislation, rules and regulations, with nine key elements, (3) urban planning and design, with eight key elements, (4) urban economy and municipal finance, with six key elements, and (5) local implementation, with six key elements. Cutting across all 35 key elements are the principles of participation and governance. The action framework greatly benefited from inputs gathered at expert group meetings held in Surabaya in July 2016 and New York in April 2017.

Below the text is an orange button labeled "DOWNLOAD PDF".

Action Framework: Timeline

Apr-Sep 2016	Start of development process and consultations with UN-Habitat thematic Branches
Jul 2016	External consultation in Surabaya (25 experts, nominated by ISOCARP and Penn IUR, and representatives of UN Regional Commissions)
Oct 2016	Adjustments based on final version of the NUA
Jan-Mar 2017	Further consultations and refinement
Apr 2017	External consultation in New York (40 experts, representing Global Assembly of Partners, HIII Policy Units, HIII UN Task Team, HIII Global Task Force of local and regional governments, UN Reg Coms)
May 2017	Presentation to CPR subcommittee on AFINUA AFINUA online (http://nua.unhabitat.org) AFINUA included in GC26 documentation Governing Council invites further development of AFINUA
Jun-Dec 2017	Regional consultations and action planning in 5 regions
Dec 2017	General Assembly invites further development of AFINUA
Feb 2018	Presentation of action framework at WUF 9 in Kuala Lumpur
Feb 2018	Update of progress presented at CPR subcommittee

GA resolution A/C.2/72/L.36

- » 5. *Invites UN-Habitat*, in accordance with its role as a focal point for sustainable urbanization and human settlements, including supporting the implementation and review of the New Urban Agenda, to collaborate with other United Nations programmes and entities, Member States, local authorities and relevant stakeholders, as well as through the mobilization of experts, to contribute to a United Nations system wide strategy and **continue generating evidence-based and practical guidance for the implementation of the New Urban Agenda and the related dimensions of the 2030 Agenda, as well as to further develop the **action framework for the implementation of the New Urban Agenda****, in close consultation with Member States, local authorities and stakeholders;


Five main categories of AFINUA



Categories	Key Elements
National Urban Policies	<ul style="list-style-type: none"> Long-term demographic projections; Interscalar roles and responsibilities; Reduced territorial disparities; Jurisdictional coherence
Urban Legislation, Rules and Regulations	<ul style="list-style-type: none"> Definition of space under urban control Legal basis for urban planning Buildability rights Acquisition and protection of public space Building codes
Urban Planning and Design	<ul style="list-style-type: none"> Scope and content of the urban plan Sustainable density and mixed use Adequate public space and street connectivity Space for a variety of economic activities
Urban Economy and Municipal Finance	<ul style="list-style-type: none"> Map of fiscal/financial management cycle Prioritize and plan capital investment Opportunities for increased local revenue generation
Local Implementation	<ul style="list-style-type: none"> Planned city extensions at appropriate scale Urban infills and retrofitting Instruments for capturing public benefit of public investment Support to community-led groups

Action Framework for Implementation of the New Urban Agenda

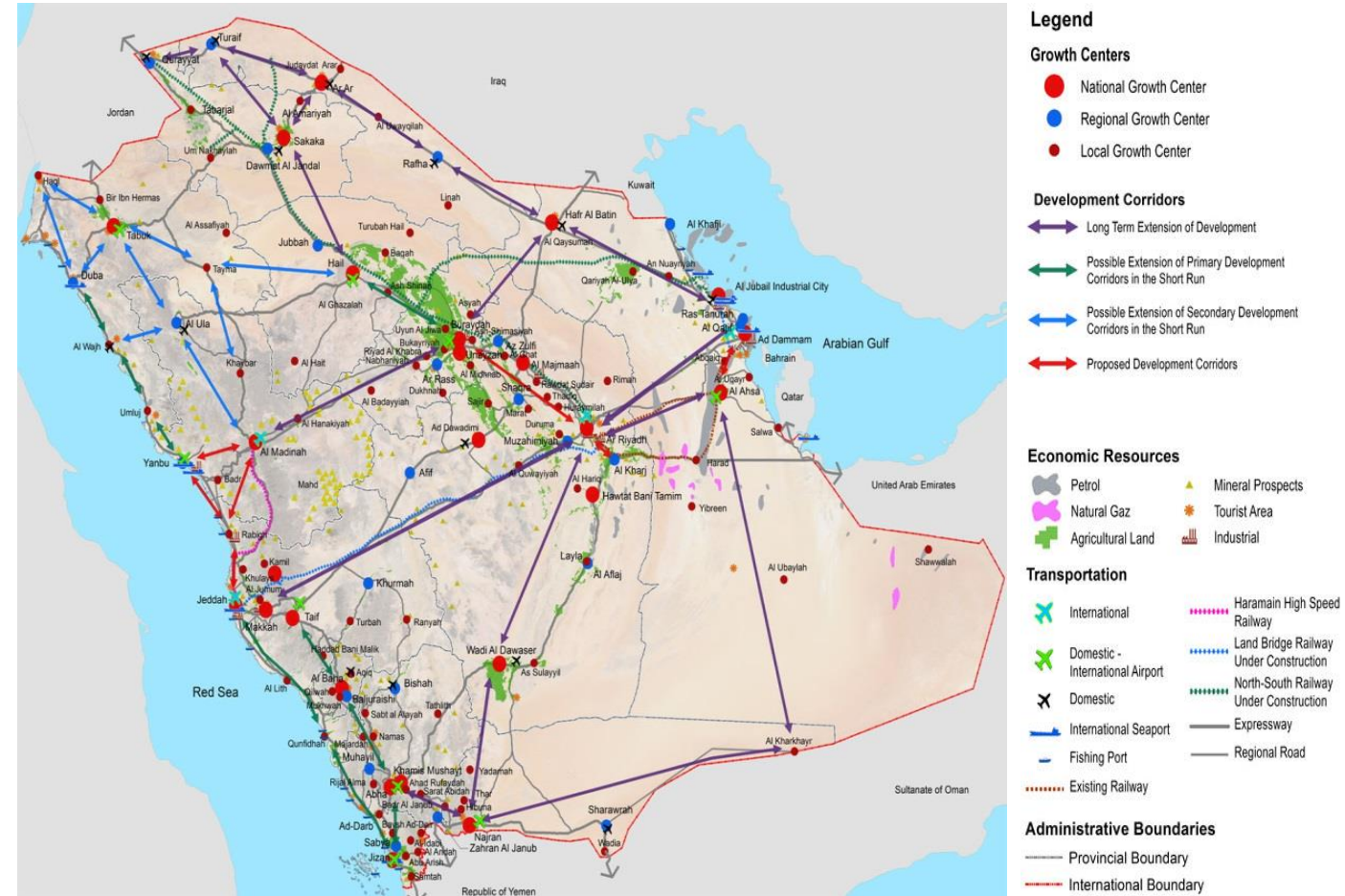
AFINUA: 5 categories with 35 elements

Key Item	Description	Relevant Indicators	Lead Actor(s)	Links to NUA	Links to AFINUA	
1.1	Formulate medium and long term urban demographic projections and trends, with geographic disaggregation, taking into consideration the interplay of economic, social and environmental forces	A national urban policy takes into account current and future trends related to population composition and distribution, demographic projections, economic assessment and environmental conditions taking into account gender, age, income, educational level, employment and economic sectors.	SDG-11.a.1 (direct)	Central gov't Subnat'l gov't Professionals Academics and researchers Private sector	Paras 2, 13(c), 13(g), 15(c), 16, 19, 61, 62, 63, 72, 77, 80, 94, 95, 101, 123, 156, 157, 158, 159	

1: National Urban Policies

Item 1.4

Align national urban policies with national and sectoral development plans and policies at all territorial levels to harness the transformative power of urbanization with urban plans (e.g. energy, water, transportation and other infrastructural corridors)

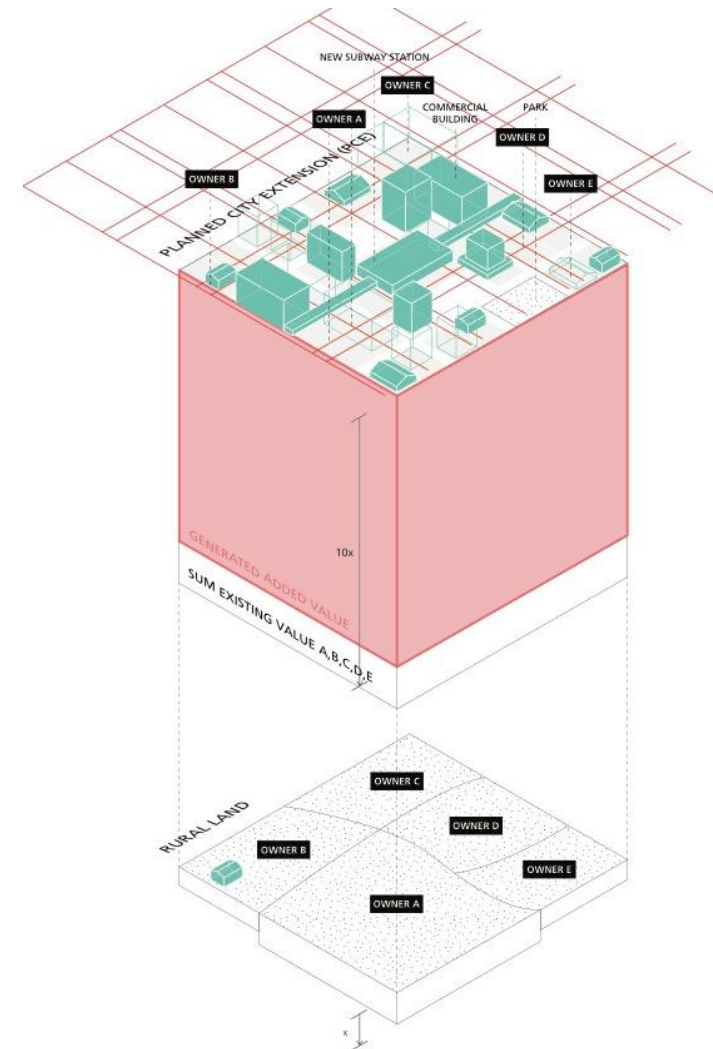


2: Urban Legislation, Rules and Regulations

Item 2.6

Develop equitable and legal instruments to capture and share the increase in land and property value generated as a result of urban development processes, infrastructure projects and public investments, ensuring that these do not result in unsustainable land use and consumption.

- Buildability rights
- Re-investing part of the increased value for the public good



3: Urban Planning and Design

Item 3.1

Set up a planning and design process that is evidence based, integrated and participatory

- Stakeholder engagement
- Horizontal integration
- Vertical integration



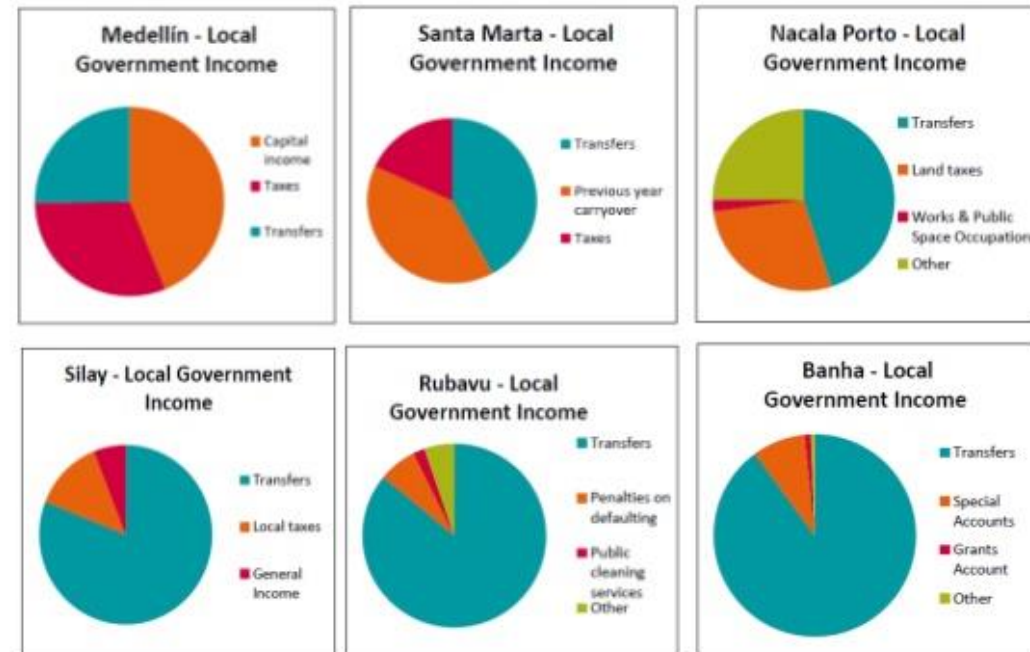
4: Urban Economy and Municipal Finance

Item 4.1

Establish principles for enhancing the role of local government in fostering inclusive, equitable and sustainable urban development and strengthen local leadership capacity for inclusive municipal finance

"I Got the Power"... but not the wallet

City Income per Source in ASUD Cities



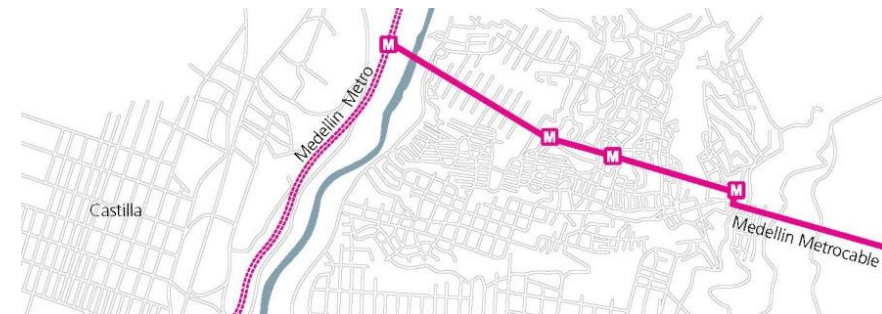
Source: UN-HABITAT (2016)

5: Local Implementation

Item 5.4

Provide integrated, efficient and equitable urban service frameworks, particularly in unplanned, built urban areas

- Connectivity
- Integrated services
- Green and public spaces



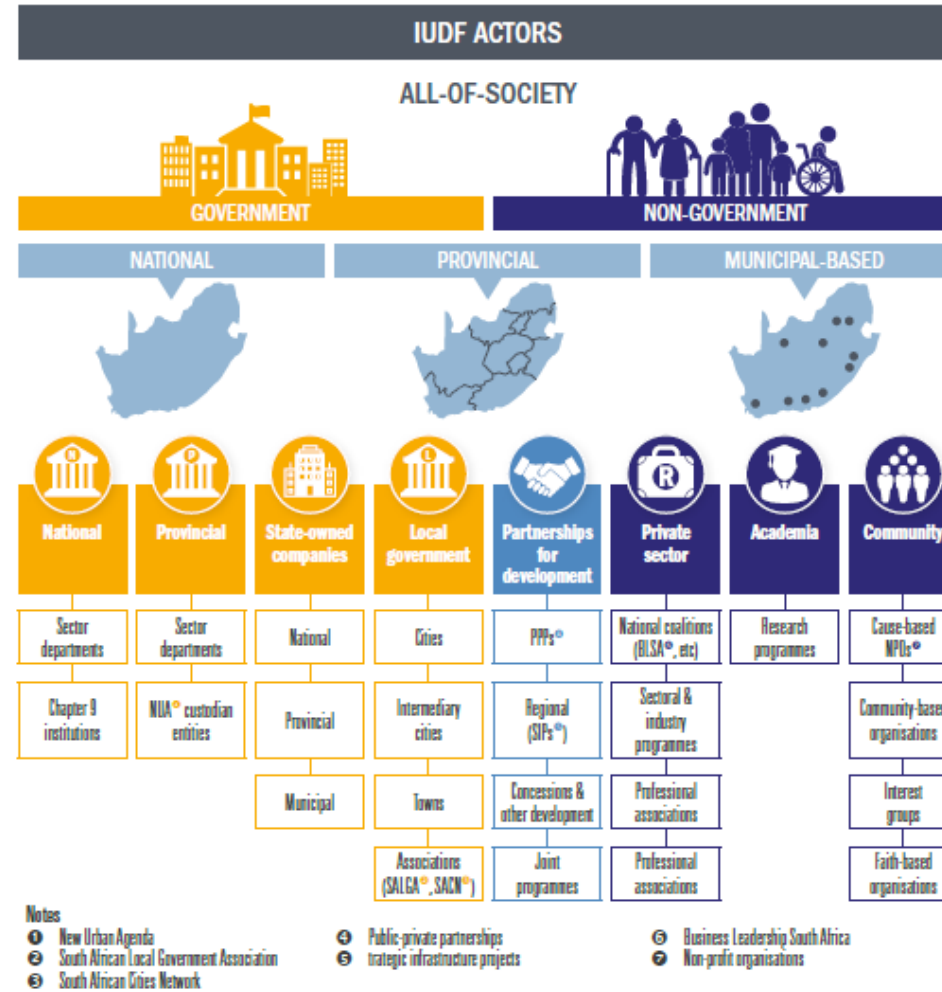
Implementing the New Urban Agenda in AFRICA

- » **Ministerial Dialogue** on the Implementation of the New Urban Agenda (NUA) in Africa held at the 26th session of UN-Habitat Governing Council in May 2017;
- » Side event on "Implementation of NUA in Africa: A Harmonized Strategic Framework" organized jointly with **UNECA** and the African Union Commission (**AUC**) during GC26;
- » NUA **implementation** framework and NUA **monitoring** framework for Africa being developed; both documents to be published jointly by **UN-Habitat, UNECA and AUC**;
- » Meeting of African Member States in **Cape Town**, South Africa, Nov 2017, to discuss draft NUA implementation and monitoring frameworks; organized jointly with UNECA and AUC;
- » Event at **WUF9** with UNECA and AUC in February 2018 to further discuss and approve the draft NUA implementation and monitoring frameworks;
- » Ongoing Distribution of AFINUA to all government focal point ministries;
- » Support to develop Habitat Country Programme Documents that integrate SDG 11 and the NUA in **Angola, Cameroon, Ethiopia, Mozambique, South Sudan and Kenya**;
- » Catalytic funding to support consultations on the localization of NUA in **South Africa**;

Country consultations – example: South Africa



FIGURE 13: All-of-society stakeholder mapping



Implementing the New Urban Agenda in Kenya

KENYA **HABITAT COUNTRY** **PROGRAMME DOCUMENT** 2018-2021

Enhancing effective service delivery and sustainable urban development at national and county levels

- Improved Land Management and Sustainably Planned Human Settlements
- Improved Access to Quality Urban Basic Services and Infrastructure
- Improved Access to Quality and Affordable Housing and Sustainable Slum Upgrading and Prevention
- Innovation in Improving Urban Economy and Finance
- Improved Urban Governance and Resilience
- Improved Capacity Development and Sensitization

Implementing the New Urban Agenda in CAMEROON

1. National urban development policies

- Development of the National Urban policy
- Strengthening Urban Rural Linkages
- Substantive inputs into the national housing policy
- National Spatial Development Framework and regional land use plans

2. Urban rules and regulations

- Review and contribution to the improvement of urban renewable regulation
- Analysis of the urban legislation regulating urban planning/urbanism in Cameroon

3. Urban planning and design/well planned and controlled urbanisation

- Etudes d'aménagement du corridor urbain des abords de l'autoroute Yaoundé-Nsimalen
- City wide public space for Bamenda (Bamenda City Council)
- Substantive inputs into the national slums reduction and prevention strategy

4. Urban economy and finance

- Improving understanding of financing models of plan city extension and infills
- Etude de valorisation du patrimoine foncier de la MAETUR
- Appui à la réorientation stratégique de la MAETUR

5. Local implementation

- Participatory Slums Upgrading in Yaoundé 6, Kribi and Bamenda (FEICOM and MINHDU)
- Building Community resilience to climate change in Sisia neighbourhood, Bamenda (FEICOM)
- Urban delinquency diagnostic and prevention strategy in Bafoussam and Ebolowa (MINHDU and City Councils)
- Organise training sessions for FEICOM staff



Implementing the New Urban Agenda in ASIA & PACIFIC

- » Strengthened roles of UN-Habitat in **Bangkok, China and Delhi** related to UN reform;
- » Strengthening collaboration with **ESCAP** and other UN and multi-lateral entities, and to develop a joint roadmap in support of SDGs and NUA;
- » Convening **Regional Partners Forum** “Strategies and Priorities to Ensure the Implementation of the NUA in Asia and the Pacific” in November 2017;
- » Promoting **UNDG A-P RCM Task Team on Sustainable Urbanization** to assist the UNCTs and to improve the quality of UNDAFs, etc.
- » Follow-up on the outcome and implementation of the partnership established for the 2018 **HLPF** “Transformation towards sustainable and resilient societies”;
- » Supporting regional programmes in response to prioritized areas of Sendai Framework for DRR and UNFCCC/Paris Declaration on Climate Change;
- » Partnerships with ASEAN, SAARC, SOPAC, APMCHUD, Asia-Pacific Forum on Sustainable Development (APFSD) and financial institutions (ADB, WB, AIIB, NDB, Exim Banks);
- » Alliance with **World Bank**, based in Singapore for financing NUA implementation;
- » Implementation of catalytic funding in **Afghanistan and Pakistan**;
- » Regional initiative Sustainable cities ASEAN-EU (tbc)

Implementing the New Urban Agenda in Afghanistan

1. National urban development policies

- Development of the National Urban Policy
- Development of the Informal Settlements Upgrading Policy
- Development of the National Housing Policy
- Substantive inputs into the Sub National Governance Policy

2. Urban rules and regulations

- Development of regulations for registration of urban informal properties
- Revision of municipal service charges regulations
- Identification and provision of land to returnees, IPDs and families of martyrs

3. Urban planning and design/well planned and controlled urbanisation

- Development of Strategic Municipal Action Planning and Strategic District Action Planning
- Development of urban design and housing plans for settlements of displaced populations
- Development of a street addressing system

4. Urban economy and finance

- Development of a land value zoning procedure
- Development of Service charge Management Information System (SMIS)

5. Local implementation

- Creating jobs through upgrading of public spaces
- Enhance safety through upgrading of neighbourhoods (Safer Cities Programme)
- Surveying and registration of urban informal properties
- Support to produce and distribute invoices
- Implementing urban service and infrastructure projects at community, district and municipal level
- Linking Land tenure, municipal finance and urban planning systems
- Building community resilience in hazardous areas



Implementing the New Urban Agenda in ARAB STATES

- » **Regional Implementation Plan**, incorporating AFINUA principles following launch (2016) of the Arab Strategy for Housing and Sustainable Urban Development by the Council of Housing and Construction Ministers of the League of Arab States (22 Arab States);
- » Plan endorsed at the Second Arab Ministerial Forum on Housing and Sustainable Urban Development (**AMFHUD**) in December 2017 in Morocco;
- » Catalytic funding to support national consultations in **Morocco**;
- » Building partnership with **ESCWA** following up on the collaboration during Habitat III and joint preparations for the Arab regional report;
- » Discussing with the League of Arab States and ESCWA on the engagement of ESCWA within the AMFHUD secretariat, while exploring possibilities of preparations of an MoU with ESCWA to support the regional implementation of NUA.
- » AFINUA principles are also reflected in region's activities, particularly in integrated programmes in **Egypt and Saudi Arabia**

AFINUA as a guiding framework for UN-Habitat programming in EGYPT

	National Policy	Governance & Legislation	Urban Planning	Local Financing	Local Implementation
National	National Urban Forum (ASUD) National Urban Policy (SECO)	Legal Framework for local financing (SECO)			National Strategy for informal Settlements (GoE)
Sub National		Legal Framework for decentralised Planning (GoE)	Cairo Metropolitan Plan (GoE) Regional S Ps (GOE)		
City			Small Cities (GoE) New City (ASUD)	City Level modalities for local financing (SECO)	Public Transport (Drosos/GoE)
Community		Ban'a Land Readjustment (ASUD) 2 pilots (SECO)	Ban'a Planned City Extension pilot (ASUD) 2 PCC Pilots (SECO)	2 Pilots (SECO)	Informal Settlements pilot (GOE) Local Economic Development in 2 pilots (SECO)

Implementing the New Urban Agenda in LATIN AMERICA & CARIBBEAN

- » Compiling **Regional Action Plan (RAP)** and Sub-Action Plan (for the Caribbean); opening new opportunities for our Agency in the field;
- » Discussing and strategizing with MINURVI and ECLAC on positioning RAP at WUF9 Feb 2018, at Housing Regional Meeting July 2018;
- » Participation at regional working group on the **Platform/Observatory** associated with RAP; ECLAC and UN-Habitat to support MINURVI on this aspect;
- » Defining a **research agenda** for LAC to support Platform/Observatory and based on needs identified in the regional report; opportunity to share experience and knowledge;
- » Strengthening and aligning development of **MoU with ECLAC** to better respond to MINURVI and country demands regarding the implementation of AFINUA;
- » Preparing proposal for **Joint Programme/Joint Unit** to support RAP development with ECLAC; inter-agency collaboration and increased capacity to coordinate with ECLAC in all its mechanisms (RCM, R-UNDG, SDG Platform etc.)
- » Catalytic funding to support national consultations in the **Dominican Republic**; opportunity to expand the pilot phase to additional countries in the future;
- » Provide technical expertise to support sub-regional/national/city action plans taking advantage of UN-Habitat's methodologies in **data collection (CPI) and reporting**;

FORUM BANDAR SEDUNIA



KUALA LUMPUR • 7-13 FEB 2018



World Urban Forum

Kuala Lumpur
7-13 Feb 2018

Side Event

Implementing the New Urban Agenda in Latin America and the Caribbean

DATE:

Thursday,
February 8, 2018

TIME:

9 – 10 AM

PLACE:

AFINUA room,
Kuala Lumpur
Convention Center

A space for the presentation and exchange of concrete advances and projects that support the implementation and monitoring of the New Urban Agenda, the 2030 Agenda and the SDGs in Latin America and the Caribbean. ECLAC, UN-Habitat and the MINURVI will present their key regional initiatives, including the Regional Action Plan. A moderated panel allows participants to learn directly from local experiences.



AFINUA application in BOLIVIA

Programme support from SIDA (USD 7.5m over 3 yrs) with the following expected accomplishments:

- **Expected Accomplishment 1** - Government of Bolivia has a document of **National Urban Policy** that supports the integrated development of cities, aligned to the New Urban Agenda criteria and based on four pillars: **financial management; system of planning and urban design; appropriate legal framework and institutional governance**; and capacity building and training for the implementation of the urban policy.
- **Expected Accomplishment 2** - Government of Bolivia has operational **capacity for the local implementation** of the strategy of operations and integrated urban projects, articulated to strategic sectorial projects (housing, transportation, integral improvement of neighbourhoods) and with impact in increasing multidimensional prosperity and reduction of poverty.
- **Expected Accomplishment 3** - The Bolivian Government has at least **three model local integrated processes** in place that are applying the New Urban Agenda and have a high impact on women's empowerment, the promotion of young people and the construction of an environment conducive to the generation of urban jobs.

Implementing the New Urban Agenda in EUROPE

- » AFINUA presented on 20 October 2017 at joint **RCM and R-UNDG** meeting in Venice);
- » AFINUA discussed at **EU/MS Directors General**, responsible for Urban Matters, meeting under Estonian Presidency of the Council of the EU, following up on the EU Urban Development Group meeting in September 2017.
- » Ongoing discussions with **DG-Regio** of European Commission regarding indicator-based monitoring of the urban dimension of the 2030 Agenda in regions and cities of the European Union
- » Consultations underway for similar conversation with the **ECE CHLM** in support of AFINUA;
- » Consulting with **regional groups of local governments** on the need for UN-Habitat's expertise and services in support of AFINUA in a series of formats.

Implementation in SWEDEN

Climate, energy & environment

Framework³⁸



Goals³⁹



SDG11.5
Reduce the number of people affected and substantially decrease the direct economic losses caused by disasters, with a focus on protecting the poor and people in vulnerable situations

SDG11.6
Reduce the adverse per capita environmental impact of cities, by paying special attention to air quality and waste management

SDG 11 target

Tools⁴⁰



IMPLEMENTING
THE NEW
URBAN AGENDA

- 65. improve urban ecosystem and environmental services
- 68. urban deltas, coastal areas as ecosystems' providers.
- 69. density and compactness to prevent urban sprawl
- 71. urban-rural linkages for a circular economy
- 73. rehabilitating water resources
- 74. reduce waste generation
- 75. renewable and affordable energy for buildings and construction modes
- 76. resource-efficiency of raw and construction materials
- 77. resilient and resource-efficient infrastructure
- 78. proactive risk-based, and all-of-society approaches
- 119. investments in sustainable systems for water, waste, sanitation, and hygiene, sewage, solid waste
- 123. integrate food security and nutrition needs

New Urban Agenda

LOCAL IMPLEMENTATION OF THE SDGs & THE NEW URBAN AGENDA

TOWARDS A SWEDISH NATIONAL URBAN POLICY



Next Steps

- Continue generating **evidence-based and practical guidance** for the implementation of NUA and related dimensions of the 2030 Agenda
- Continue collaboration with **Regional Commissions** and other relevant regional organizations to develop and implement **regional action plans**
- Further apply the action framework through **Habitat Country Programme Documents**
- Provide support to **United Nations Country Teams**
- UN-System wide Collaborative Framework
- Develop reporting framework with inputs from all relevant stakeholders and draft 1st **Quadrennial Report** on NUA implementation in 2018

An aerial photograph of a densely populated urban area, likely a favela, with numerous small, closely packed buildings. In the background, there are large, forested mountains under a cloudy sky. The overall scene is hazy and overcast.

Thank You!

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